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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of Bryan T. Starbuck, et al. Applicant: 10/601,741 Serial No.: June 23, 2003 Filed: ADVANCED SPAM DETECTION TECHNIQUES For: 2154 Art Unit: Unknown Examiner: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s). Serial No.: Filing Date: Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise. Regarding each listed document that is not in the English language, an English-language translation accompanies 2. this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s): Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report. Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (b) 🗍 Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked): 3. Within 3 months of the filing date or date of entry into the National Stage. Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement. Before the mailing date of an Office Action after the filing of a request for continued examination under (c)

	(d) 🔯	After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.						
		(1) The required certification is given below, or						
		(2) Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement:						
		(i) Enclosed is a check covering the fee;						
		(ii) Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or						
		(iii) Enclosed is a credit card payment form covering the fee.						
	(e) 🗌	After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below Regarding the fee set forth in 37 C.F.R. §1.17(i)(1) for consideration of this Statement:						
		(1) Enclosed is a check covering the fee;						
		(2) Charge the fee set forth in 37 C.F.R. §1.17(i)(1) to Deposit Account No. 50-1063; or						
		(3) Enclosed is a credit card payment form covering the fee.						
4.	Certific	Certification (if applicable)						
	(a) 🗌	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.						
	(b)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.						
5.	The Co No. 50-	mmissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account 1063.						
		Respectfully Submitted,						
		Jeffrey R. Sadlowski Reg. No. 47,914						
24 TH FI 1900 E Clevela	& Turocy loor, Nati ast 9 th Str and, Ohio 596-8730	onal City Center reet						

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

10-20-2005 Date

Jeffrey R. Sadlowski

PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO
SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Application Number 10/601,741

Filling Date June 23, 2003

First Named Inventor Bryan T. Starbuck

Art Unit 2154

Examiner Name

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number MS303962.01/MSFTP438US

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.¹	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- _{2002/0184315}	12-02-2002	Earnest	
		US- _{10/208,150}	07-29-2002	Andaker	
		US- _{09/893,941}	06-28-2001	Horvitz	
		US- _{10/278,591}	10-23-2002	Heckerman	
		US- _{10/180,565}	06-26-2002	Goodman	
		US _{09/497,992}	02-04-2000	Maller	
		US- _{10/291,260}	11-08-2002	Burrows	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
IIIIIdis	NO.	Country Code ³ Number ⁴ Kind Code ⁵ (if known)				L	
		EP1376427 A2	01-02-2004	Microsoft Corporation	}*************************************		
		EP1376427 A3	01-02-2004	Microsoft Corporation			

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Examiner	 Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for	form 1449B/PTO				Complete if Known	
SUPP	LEMENTAL			Application Number	10/601,741	
INFOF	RMATION D	ISCLO	SURE	Filing Date	June 23, 2003	
STAT	EMENT BY	APPLI	CANT	First Named Inventor	Bryan T. Starbuck	
				Art Unit	2154	
	(Use as many sheets	as necessary)		Examiner Name		
Sheet	2	of	2	Attomey Docket Number	MS303962.01/MSFTP438US	

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ite magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), published.						
		BREIMAN, FRIEDMAN, OLSHEN, and STONE. "Classification and Regression Trees." Wadsworth & Brooks, Monterey, CA (1984).				
***************************************	***************************************	QUINLAN. "C4.5: Programs for Machine Learning." Morgan Kaufmann, San Francisco, CA (1993).				
		European Search Report, dated June 9, 2005, mailed August 22, 2005 for European Patent Application Serial No. EP04011978, 12 pages.				
		HAYES, BRIAN. "Spam, Spam, Spam, Lovely Spam." American Scientist Online, June 30, 2003. Pgs. 1-6. vol. 91.				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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